HDP/SB/21 based on PTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> [+] Applicati n Numb r 10/626,100 TRANSMITTAL **Filing Date** 07/24/03 **FORM** First Nam d Invent r Brian F. Fitzgerald (to be used for all correspondence after initial filing) **Group Art Unit** Not Yet Assigned **Examiner Name** Not Yet Assigned Total Number of Pages in This Submission **Attorney Docket Number** 6978-000253/CPA ENCLOSURES (check all that apply) After Allowance Communication to **Assignment Papers** Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition **Proprietary Information** Petition to Convert to a Affidavits/declaration(s) Status Letter **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) **Extension of Time Request** Change of Correspondence Address (please identify below): **Terminal Disclaimer** PTO1449 Form; one (1) copy of each of foreign reference cited; **Express Abandonment Request** Request for Refund return receipt postcard. CD, Number of CD(s) The Commissioner is hereby authorized to charge any Certitied Copy of Priority additional fees that may be required under 37 CFR 1.16 or 1.17 Document(s) Remarks to Deposit Account No. 08-0750. A duplicate copy of this sheet is enclosed. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Reg. No. Attorney Name Hamess, Dickey & Pierce, P.L.C. or Philip E. Rettig 34,000 Individual name Signature Date Hem 124 23, 200 **CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on the date indicated below.

Typed or printed name

Philip E. Rettig

Signature

Date

9-23-03





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.:

10/626,100

Filing Date:

July 24, 2003

Applicant:

Brian F. Fitzgerald

Group Art Unit:

Not Yet Assigned

Examiner:

Not Yet Assigned

Title:

MODULAR BI-DIRECTIONAL CLUTCH ASSEMBLY

Attorney Docket:

6978-000253/CPA

Director of the United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby submits an Information Disclosure Statement for consideration by the Examiner.

I. <u>LIST OF PATENTS, PUBLICATIONS, AND OTHER INFORMATION</u>

The patents, publications and other information requested to be considered by the Office (except unpublished U.S. patent applications) are listed on Form 1449 attached hereto.

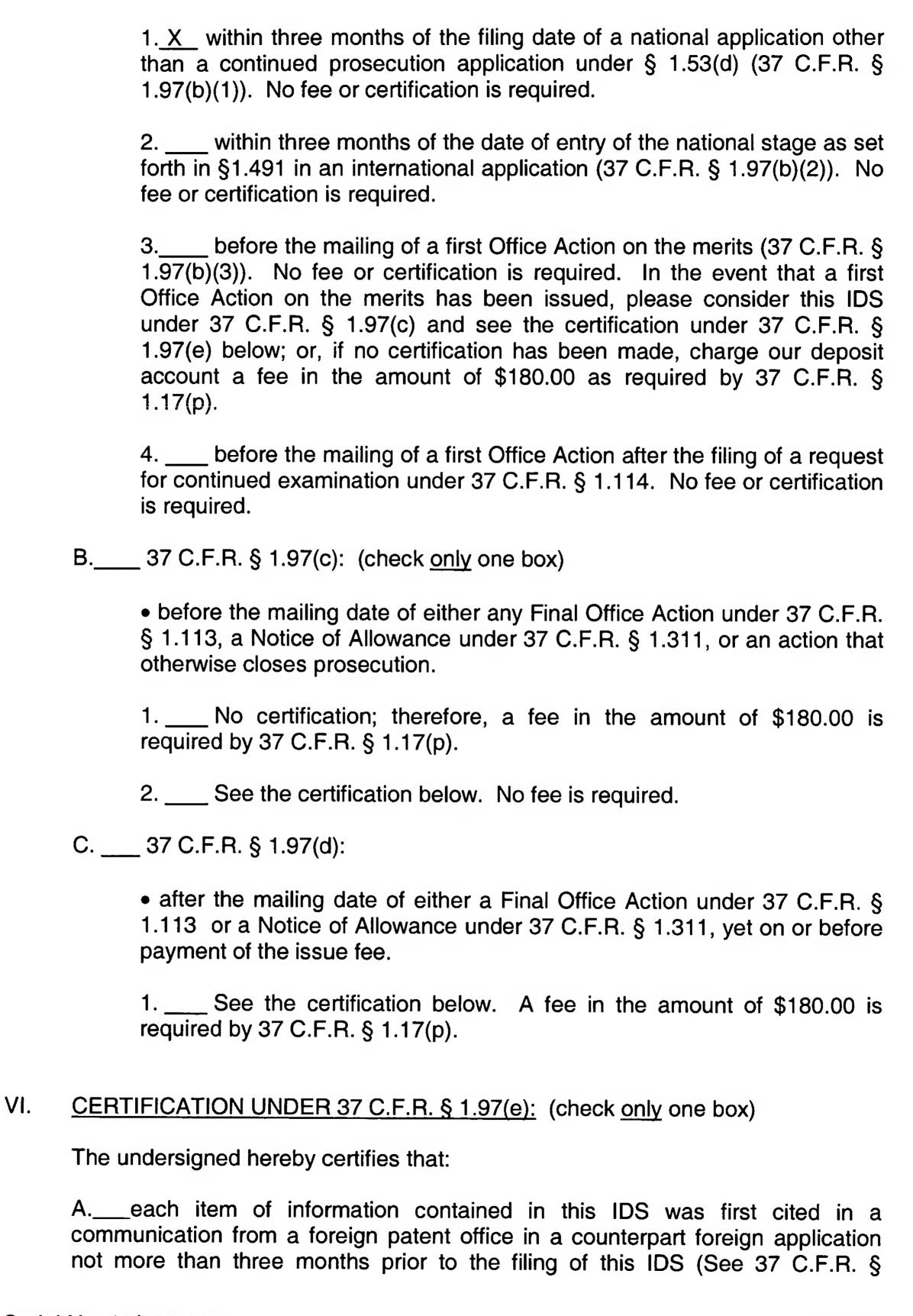
II. COPIES

A. ___ Submitted herewith is a legible copy of (i) each U.S. patent application publication and U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; (iii) for each cited pending U.S. application, the application specification including the claims, and any drawing of the application which caused it to be listed including the claims directed to that portion; and (iv) all other information or that portion which caused it to be listed.

B. ___ Any patents, publications or other information which are listed on Form 1449 or on the copies of PTO-892, but which are not enclosed herewith, were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Serial Number

	C. X Because the present application was/is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.
	DThis is a PCT application in the entry of the National Phase in the United States. A copy of the International Search Report is attached for the Examiner's information. The documents listed on the International Search Report are listed on the attached Form-1449 for consideration by the Examiner and for listing on any patent resulting from this application. Since the International Search Report was from the US, EPO, or JPO search authorities, copies of these references should have been supplied to the USPTO under the trilateral agreement and are believed to be in the file of the above-identified application. (MPEP 1893.03(g))
11.	CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)
	A. X Except as may be indicated below in (B), all of the patents, publications or other information are in the English language (concise explanation not required).
	B A concise explanation of the relevance of each patent, publication or other information listed that is not in the English language is as follows (see 37 C.F.R. § 1.98(a)(3)):
	 1See the attached foreign patent office communication from a counterpart foreign application: 2English translations are provided: 3Other:
	C The following additional information is provided for the Examiner's consideration.
V.	CROSS REFERENCE TO RELATED APPLICATION(S)
	A The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this(these) application(s) to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. § 122.
	<u>Serial No.</u> <u>Filing Date</u> <u>Art Unit</u> 10/080,420 02/22/02 3618
/ .	THIS IDS IS BEING FILED UNDER
	A 37 C.F.R. § 1.97(b): (check <u>only</u> one box)



- 1.97(e)(1)). See further statement under 37 C.F. R. 1.704(d) below in section VII, if applicable; or
- B. X no item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS (See 37 C.F.R. § 1.97(e)(2)).
- C.___Some of the items of information were first cited in a communication from a foreign patent office. As to this information, the undersigned hereby certifies that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby certifies that no item of this remaining information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this IDS.

VII. STATEMENT UNDER 37 CFR 1.704(d)

The undersigned hereby states that:

____ each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. 1.56(c) more than thirty days prior to the filing of this IDS.

VIII. PAYMENT OF FEES (check only one box)

- A. ___ A check in the amount of \$180.00 is enclosed for the above-identified fee.
- B. ___ Please charge Deposit Account No. 08-0750 in the amount of \$180.00 for the above-indicated fee. A duplicate copy of this paper is attached.

The above references are being cited only in the interest of candor and without any admission that they constitute statutory prior art, contain matter which anticipates the invention, or which would render the same obvious, either singly or in combination, to a person of ordinary skill in the art. Furthermore, this Information Disclosure Statement shall not be construed as a representation that a search has been made.

If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 08-0750.

Please charge any additional fees or credit any overpayment pursuant to 37 C.F.R. § 1.16 or § 1.17 to Deposit Account No. 08-0750.

By:

Respectfully submitted,

Philip E. Rettig Reg. No. 34,000*

Dated:

HARNESS, DICKEY & PIERCE, P.L.C.

P.O. Box 828

Bloomfield Hills, Michigan 48303

(248) 641-1600

Serial No. 10/626,100

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PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 1 of 2

ATTORNEY DOCKET NO.	SERIAL NO. 10/626,100		
6978-000253/CPA			
APPLICANT Brian F. Fitzgerald et al.			
07/24/03	Not Yet Assigned		

U.S. PATENT DOCUMENTS						
Ref. Desig.	Examiner's Initials	Document Number	Date	Name	Class/ Subclass	(If appropriate) Filing Date
1.		4132297	01/1979	Brown et al.		
2.		4874056	10/1989	Naito		
3.		5363938	11/1994	Wilson et al.		
. 4.		5407024	04/1995	Watson et al.		
5.		5520590	05/1996	Showalter et al.		
6.		5704863	01/1998	Zalewski et al.		
7.		5924510	07/1999	Itoh et al.		
8.		5937980	08/1999	Dick		
9.		5951428	09/1999	Itoh et al.		
10.		5992592	11/1999	Showalter		
11.		5993592	11/1999	Perego		
12.		6092635	07/2000	McCarthy et al.		
13.		6123183	09/2000	Ito et al.		
14.		6132332	10/2000	Yasui		
15.		6186298	02/2001	Wake		
16.		6263995	07/2001	Watson et al.		
17.		6367604	04/2002	Kerr		
18.		6409000	06/2002	loth et al.		
19.		6409001	06/2002	Kerr		

Examiner:	Date Considered:
LACITIFICI.	Date Considered.



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PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

Sheet 2 of 1

	ATTORNEY DOCKET NO.	SERIAL NO.			
	6978-000253/CPA	10/626,100			
	APPLICANT				
	Brian F. Fitzgerald et al.				
	FILING DATE	GROUP			
	07/24/03	Not Yet Assigned			

FOREIGN PATENT DOCUMENTS							
Ref. Desig.	Examiner's Initials	Document Number	Date	Country	Class/ Subclass	Translat Yes	ion No
1.		GB 2081402 A	02/1982	United Kingdom			

Examiner: Date Considered: